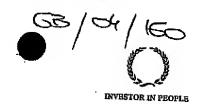
PAGE 1/15 \* RCVD T 1/8/2009 3:35:55 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-5/25 \* DNIS:2702/87 \* CSID:7033085359 \* DURATION (mm-s2-6/55







PRIORITY DOCUMEN

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

The Patent Office Concept House Cardiff Road Newport South Wales NP10 8QQ

> REC'D 2 3 FEB 2004 WIPO PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before reregistration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

10540213

571-270-218, 12Behon

Signed

Dated

9 February 2004

BEST AVAILABLE COPY

An Executive Agency of the Department of Trade and Industry

. 17-JAN	4-2003 14:45 FROM:1 LIMITED 01223 4	122291		In: 901633	8144 <del>44</del>	P:2/26
	THE PAT	Die Brande German		17. E77797 F01/7700 0.00-	2-1 D10153 0301093.1	
	Patents Form 1/77  Patents Act 1977 (Rule 16)  RECEIVED	Partial Partia Partial Partial Partial Partial Partial Partial Partial Partial	٠.	1	17	7
•	Request for grant of a patent See the nates on the back of this form. You can also get an explanatory leather from the Patent Office to help you III in this form)		· 67	fan 2003	The Patent Cardiff Ros Newport South Wate NP10 8QQ	ud us
Ī	2. Patent application number (The Patent Office will fill in this part)	P163-GB	_		14120 000	
ach applicar	3. Full name, address and postcode of the or of	1 Limited novation Centre Cowley Road				
	Patents ADP number (1/you know it)	Cambridge CB	4 0WS			
	If the applicant is a corporate body, give the country/state of its incorporation			370001		
<del></del> 5.	Name of your agent (Fyou have one)  "Address for service" in the United Kingdom	Akram K. Mirza		,		· · · · · · · · · · · · · · · · · · ·
	to which all correspondence should be sent (including the postcode)	St John's Innovation Centre Cowley Road				
	Patents ADP number (If you Anow 10)	Camonoge Co+	UVVO	811387	-0001	
<b>6.</b>	If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (If you know to) the or each application number	Country	_	application number 'you know ii)	Date of Illing (day / month / ye	ear)
7.	If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier app	lication		Date of fling (day / month / ye	ar)
8.	Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer Yes' th:  a) any applicant named in part 3 is not an inventor, or the season in the season applicant or  applicant or  a) any named applicant is a corporate body.	YES				-
	See note (d))	·	•		Patents Form	1/77
					MOTORINE 17: 17-	03 03***

0058105:17-Jan-03 02:53

P163-GB

# SET-UP METHOD FOR ARRAY-TYPE SOUND SYSTEM

## FIELD OF THE INVENTION

This invention relates to a device including an array of electro-acoustic transducers capable of receiving a multi-channel audio input signal and to produce independently steerable and focusable beams of audible sound, at a level suitable for home entertainment or professional sound reproduction applications. More specifically, the invention relates to the methods and systems for configuring such a device.

# BACKGROUND OF THE INVENTION

The commonly-owned International Patent applications no. Wo0123104 and WO-02078388 describe an array of transducers and
their use to achieve a variety of effects. They describe
methods and apparatus for taking an input signal, replicating
it a number of times and modifying each of the replicas before
routing them to respective output transducers such that a
desired sound field is created. This sound field may comprise
a directed, steerable beam, focussed beam or a simulated
origin. The methods and apparatus of the above and other
related applications is referred to in the following as "sound
projector" technology.

Conventional surround-sound is generated by placing loudspeaker at the appropriate position surrounding the listener's position or "sweet-spot". Typically, a surround-sound system employs a left, centre and right speaker located in the front halfspace and two rear speakers in the rear halfspace. The terms "front", "left", "centre", "right" and "rear" are used relative to the listener's position and orientation.

- 1 -

0058105 47-Jan-03 02:53

15

20

25

30

35

TO: 901633814444

P:11/28

P163-GB

5

10

15

20

25

30

35

correlation is calculated by adding the absolute values of the convolved signal at each repeat of the sequence, to improve the signal-to-noise ratio.

The above impulse measurement is performed for several different transducers in the array of the sound projector. Using multiple sufficiently uncorrelated sequences can shorten the time for these measurements. With such sequences it is possible to measure the impulse response from more than one transducer simultaneously.

In order to test the following algorithms, a listening room was set up with a Mk 5a DSP and an omnidirectional microphone at roughly (4:0; 0:0; 0:6), and six repeats of a maximum length sequences (MLS) of 2^18-1 bits was sent at 48kHz to individual transducers by selecting them from the on-screen display. Transducers 0, 9, 33, 57, 66, 90, 114, 123, 133, 159, 193, 219, and 252 were used (13 in all) of the 256 transducer array of the sound projector, forming a roughly evenly spaced grid across the surface of the DSP including transducers at extreme position, such as the centre or the edges. The microphone response was recorded to 48kHz WAY format files for analysis.

The time-reversed original MLS was convolved with the response from each transducer in turn and the resulting impulse response normalized by finding the first major peak (corresponding to the direct path) and shifting the time origin so this peak was at t = 0, then scaling the data so that the maximum impulse had height 1. The time shift alleviates the need to accurately synchronize the signals.

A segment of the impulse response of transducer 0 (in the topleft corner of the array) is shown in FIG. 2. The graph shows the relative strength of the reflected signal relative to the

- 8 -

0058405 17+Jan-03. 02:53

. 17-JAN-2003 14:52 FROM:1 LIMITED 01223 422291
T0:5

TO: 981633814444 P: 22/28

1/7

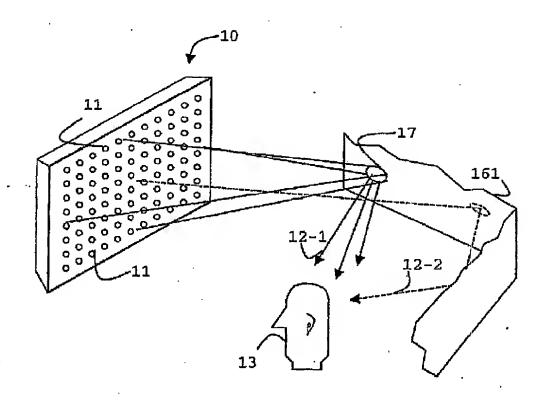


FIG. 1

0058105 17-Jan-03 02:53

P:5/28

P163-GB

5

10

15

20

25

30

A surround-sound system decodes the input audio information and uses the decoded information to distribute the signal among different channels with each channel usually being emitted through one or loudspeaker or combination of two speakers.

In the commonly-owned published international patent applications no. WO-0123104 and WO-02078388 the sound projector generates the surround-sound environment by emitting beams of sound each representing one of the above channels and reflecting such beams from surfaces such as ceiling and walls back to the listener. The listener perceives the sound beam as if emitted from an acoustic mirror image of a source located at or behind the spot where the last reflection took place.

Whereas Sound Projector systems that use the reflections of acoustic beams can be installed by trained installers and closely guided users, there remains a desire to facilitate the set-up procedure for less-trained personnel or the average end user.

The problems associated with the setting up of a sound projector are not related to certain known methods aiming at partial or total wavefield reconstruction. In the latter methods, it is attempted to record a full wavefield at the listener's position. For reproduction a number of loudspeakers are controlled in a manner that closest approximates the desired wavefield at the desired position. Even though these methods are inherently recording reflections from the various reflectors in a room or concert hall, no attempt is made to infer from these recordings control parameters for a sound projector. In essence, the wavefield reconstruction methods are "ignorant" as to the actual room geometry and therefore

- 2 -

0058105, 17-Jan-03 02:53 .

60/80/TO

17-JAN-2003 14:48 FROM:1 LIMITED 01223 422291

D: 901633014444

P: 10/29

P163~GB

The microphone is modeled by a point in space and is assumed to be omnidirectional. Under the assumption that the reflective surfaces are planar, the system can be thought of as an array of microphone "images" in space, with each image representing a different sound path from the transducer array to the microphone. The speed of sound c is assumed to be known, i.e. constant, throughout, so distances and traveltimes are interchangeable.

Given a microphone located at (xmic; ymic; zmic) and a transducer located at (0; yi; zi), the path distance to microphone is

[1]  $di = (xmic^2 + (ymic-yi)^2 + (zmic-zi)^2)^(1/2)$ 

which can be rewritten as the equation of a two sheeted hyperboloid in (di; yi; zi) space as follows:

20  $di^2 - (ymic-yi)^2 - (zmic-zi)^2 = xmic^2$ 

The """ notation indicates an exponent.

At a listening distance of 3m, the effects of curvature of the 25 hyperboloid are negligible over the available range of yi and zi and it can be considered either planar or hyperboloidal

To measure a impulse response, a single transducer is driven with a known signal, for example five repeats of a maximum length sequence of 2^18- 1 bits. At a sampling rate of 48kHz this sequence lasts 5.46 seconds.

recording is taken using the omnidirectional microphone within the listering area. The recording is then filtered by convolving it with the time-reversed original sequence and the

0058705 13-160-63 05153

17-JAN-2003 14:47 FROM: 1 LIMITED 01223 422291

TO: 901633814444

P:6/28

30

35

5

10

15

20

25

30

35

not applicable to the control problem underlying the present

### SUMMARY OF THE INVENTION

The present invention proposes the use of one or a combination of two or more of the following methods to facilitate the installation of a sound projector:

A first approach is use a set-up guide in form of an electronic medium such as CDROM or DVD, or a printed manual manual, preferably supported by a video display. The user is asked a series of questions, including details of: - The mounting position of the sound projector;

- The shape and dimensions of the room; or

- The distance to the listening position from the Sound Projector.

This can either be done through a series of open questions, as in an expert system, or by offering a limited choice of likely answer combinations, together with illustrations to aid clarity.

From this information, a few beam directions for each channel can be pre-selected and stored. for example in form of a list. The sound projector system can then produce short bursts of band-limited noise, cycling repeatedly through each of these directions. For each direction the user is then asked to select a (subjective) best beam direction, for example by activating a buttom. This step can be repeated iteratively to refine the choice.

Without making use of a microphone, the user might then be asked to select from a menu the type of surface on each wall and on the ceiling. This selection, together with the steering angles as established in the previous step, could be used to

60/80/TO

17-JAN-2003 14:47 FROM:1 TED 01223 422291

TO: 901633814444

P:7/8

P163~GB

5

10

15

20

25

30

35

derive an approximate equalisation curve. Delay and level matching between channels could be performed using a similar iterative method.

In variants of the above method where a microphone is used attached to an input socket of the sound projector, a more automated approach can be taken. With an ommi-directional microphone positioned at the main listening position, the impulse response can be measured automatically for a large number of beam angles, and a set of local optima, at which there are clear, loud reflections, can be found. This list can be refined by making further automated measurements with the microphone positioned in other parts of the listening area. Thereafter the best beam angles may be assigned to each channel either by asking the user to specify the direction from which each beam appears to come, or by asking questions about the geometry and deducing the beam paths. Asking the user some preliminary questions before taking measurements will allow the search area, and hence time, to be reduced.

A faster, automated approach includes the step of measuring the impulse responses between a number of single transducers on the panel and a microphone at the listening position. By decomposing the measured impulse responses into individual reflections and using a fuzzy clustering or other suitable algorithm, it is possible to deduce the position and orientation of the key reflective surfaces in the room, including the ceiling and side walls. The position of the microphone (and hence the listening position) relative to the Sound Projector can also be found accurately and automatically.

These and other aspects of inventions will be apparent from the following detailed description of non-limitative examples and drawings.

- 4 -

P163-GB

5

15

# BRIEF DESCRIPTION OF THE DRAWINGS

- FIG. 1 is a schematic drawing of a typical set-up of a sound projector system in accordance with the present invention;
- FIG. 2 is a graph showing registered responses to a signal emitted by a transducer of the sound projector system;
- 10 FIG. 3 is a modeled impulse response for a idealized room;
  - FIG. 4 shows results of cluster analysis performed on registered responses to signals emitted from different transducers of the sound projector system;
  - FIG. 5 summarizes the general steps of a method in accordance with the invention.

# DETAILED DESCRIPTION

- The present invention is best illustrated in connection with a digital sound projector as described in the co-owned applications no. WO-0123104 and WO-02078388.
- Referring to FIG.1, a digital loudspeaker system or sound
  projector 10 includes an array of transducers or loudspeakers
  11 that is controlled such that audio input signals are
  emitted as a beam or beams of sound 12-1, 12-2. The beams of
  sound 12-1, 12-2 can be directed into within limits arbitrary directions within the half-space in front of the
  array. By making use of carefully chosen reflection paths, a
  listener 13 will perceive a sound beam emitted by the array as
  if originating from the location of its last reflection or more precisely- from an image of the array as reflected by the
  wall, not unlike a mirror image.

35

- 5 -

0058105 17 Jan 03 02:53

P:9/28

P163-GB

5

10

15

20

25

30

35



In FIG. 1, two sound beams 12-1 and 12-2 are shown. The first beam 12-1 is directed onto a sidewall 161, which may be part of a room, and reflected in the direction of the listener 13. The listener perceives this beam as originating from an image of the array located at, behind or in front of the reflection spot 17, thus from the right. The second beam 12-2, indicated by dashed lines, undergoes two reflections before reaching the listener 13. However, as the last reflection happens in a rear corner, the listener will perceive the sound as if emitted from a source behind him or her.

Whilst there are many uses to which a sound projector could be put, it is particularly advantageous in replacing conventional surround-sound systems employing several separate loudspeakers placed at different locations around a listener's position. The digital sound projector, by generating beams for each channel of the surround-sound audio signal and steering those beams into the appropriate directions, creates a true surround-sound at the listener position without further loudspeakers or additional wiring.

The components of a digital sound projector system are described in the above referenced published International patent applications no. WO-0123104 and WO-02078388 and, hence, reference is made to those applications.

In the following the steps leading to the automated identification of reflecting surfaces, such as side-wall 161 in FIG.1, in a room with a sound projector.

For the subsequent method it is assumed that the sound projector is centred on the origin and lies in the yz plane where the positive y axis points to the listeners' right and the positive z axis points upwards; the positive x axis points towards the listeners.

- 6 -

0058105 17° Jan-03 02:53

P153-GB

travel path length as calculated from the arrival time. Several peaks (above -20 dB) are identifiable in the graph, for example the peaks at 0.4m, 1.2m, 3.0m, 3.7m and 4.4m.

Before attempting to associate these peaks with reflectors in 5 a room, a model of a perfectly reflecting room is illustrated in FIG. 3.

FIG. 3 is a graph of the `perfect' impulse response of a room with walls 2.5m either side of the sound projector, a rear 10 wall 8m in front of it and a ceiling 1.5m above it, as heard from a point at (4; 0; 0). As the signal is reflected from reflecting surfaces the microphone measures an refection image of that surface in accordance with the path or delay values 15 from equations [1] or [2]. The direct path and reflections from the ceiling correspond to the first two surface images 311, 312, and the next four intermingled arrivals 313 correspond to the reflections from the sidewalls with and without the ceiling, respectively. Other later arrivals 314. 315 represent reflections from the rear well or multiple reflections.

Using the model of FIG. 3, a plausible interpretation of some of the major peaks of FIG. 2 can be given. Table 1 below lists these interpretations.

## TABLE 1.

20

25

30 .	Distance (m)	Likely source  Direct path from transducer to  microphone				
	0.4 1.2 3.0, 3.7, 4.4	Reaction from coffee table Reaction from ceiling Reaction from side walls with/without				
35		ceiling.				

- 0 -

0058105 17 Jan 03 02×53

17-JAN-2003 14:50 FROM:1 LIMITED 01223 422291

**III:** 901633B14444

P:16/28

P163-GB

5

# 2.2 Rerun the algorithm.

This method has a number of advantages. Firstly, the algorithm never runs with fewer than c-1 clusters, so the wait for extraneous prototypes to be deleted is minimized. Secondly, the starting point of each run is better than a randomly chosen one, since c-1 of the clusters have been found and the remaining data belongs to the remaining prototype(s).

algorithm on the second precluster of FIG. 2 using c = 1 (FIG. 4A) and c= 2, \_ 5 (FIGs. 4B, \_ .4E, respectively.). In the case of c=3 (FIG. 4C) the method converges onto an artifact. As the number of clusters is further increased to c=4 and c=5 (FIGs. 4D, E) this cluster disappears and the four correctly recognized reflectors are recognized in the data. No further cluster is identified. The clusters are indicated by planes 413 drawn into the data space, which in turn is indicated by black dots 400 representing the impulse response of the microphone to the emitted sequences.

As in an automated set-up procedure the microphone position may be an unknown, any cluster identified according to the steps above, can be used to solve with standard algebraic methods equation [2] for the microphone position xmic, ymic and zmic.

30

25

With the microphone position and the distance and orientation of images of the transducer array known enough information is known about the room configuration to direct beams at the listeners from a variety of angles. This is done be reversing the path of the acoustic signal and directing a sound beam at each microphone image.

**- 13 ~** 

0058105 17 Jan 03 02 53

17-JAN-2003 14:50 FROM:1 LIMITED 01223 422291

TO: 901633314444

P:17/28

P163-GB

10

15

20

25

30

35

Bowever, it is necessary to deduce the direction from which the beam appears to arrive at the listener.

One way of making this deduction is to decide which walls the beam is being reflected in order to arrive at the microphone. If this decision is to be made automatically then it can be for most cases assumed that the walls are all flat and reflective over their whole surfaces. This implicitly means that the secondary reflection of surfaces A and B arrives at the microphone later than the primary reflected signals from surface A and from surface B, which permits the following algorithm:

- 1. Start by initializing an empty list of walks.
- 2. Take each microphone image in order of their distances from the DSP and search through all combinations of walls in the list to see if any composition of reflections in those walls could result in the microphone image being in the right place.
- 3. If such a combination does not exist then this microphone image is formed by a primary reflection in an as-yet-undiscovered wall. This wall is the perpendicular bisector of the line segment from the microphone image to the real microphone. Add the new wall to the list.

A more robust way of comprises the use of multiple microphones or one microphone positioned at two or more different locations during the measurement and determine the perceived beam direction directly.

Using an arrangement with 4 microphones in a tetrahedral arrangement and after having determined the positions of images of each of the microphones individually they can be grouped into images of the original tetrahedron which will

- 14 -

0058105 17-Jan-03 02:53:3

17-JAN-2003 14:51 FROM:1 LIMITED 01223 422291

**==:** 901633814444

P:18/28

P163~GB

5

10

15

20

25

30

fully specify the perceived beam direction. If the walls are planar then the transformation mapping the real tetrahedron to its image will be an isometry and its inverse equivalently maps the sound projector to its perceived position from the listener's point of view.

Using less than four microphones results in an increase of uncertainty in the direction of the arrival. However in some case it is possible to use reasonable constraints, for example, such as that wall are vertical etc, to reduce this uncertainty.

The problem of scanning for a microphone image is a 2-dimensional search problem. It can be reduced to two consecutive I-dimensional search problems using the peam projectors ability to generate various beam patterns. For example it is feasible to vary the beam shape to a tall, narrow shape and scanning horizontally, and then use a standard point focused beam to scan vertically.

With a normal point-focused beam the wavefront of the impulse is designed to be spherical, centered on the focal point. If the sphere were replaced with an ellipsoid, stretched in the vertical direction, then the beam will become defocused in the vertical direction and form a tall narrow shape.

Alternatively, it is possible to form a tall narrow beam by using two beams focused at two points in space above one another and the same distance away from the sound projector. This is due to the abrupt change of phase between sidelobes and the large size of the main beam in comparison with the sidelobes.

The general steps of the above-described method are summarized in Fig. 5.

- 15 -

0058105 17-Jan-03 02:53

P163-GB

10

15

20

#### CLAIMS

- A set-up method for a loudspeaker system capable of
   generating at least one directed beam of audio sound,
   comprising the steps of
  - emitting a series of signals from the loudspeaker system into a room including a listener's position; ;
  - registering said series and its reflections at one or more locations within said room;
  - evaluating said registered series to determine a first set of values for directing parameters for said beam;
     and
  - using said directing parameters to direct said beam into the desired direction.
  - The method of claim 1 wherein the series of signals are emitted from less than the full number of electroacoustic transducers in the loudspeaker system.
  - The method of claim 1 wherein the series of signals are emitted from single electro-acoustic transducers in the loudspeaker system.
- 25 4. The method of claim 3 wherein different series of signals are simultaneously emitted from different electro-acoustic transducers.
- 5. The method of claim 4 wherein the different electroaccustic transducers are located at an edge position and/or the centre of the transducer array.
  - 6. The method of claim 1 wherein the series of signals are emitted as spatially-constrained beams of sound to a range of directions.

- 16 -

9058105 17-Jan-03 02:53/

35